

# Office of Child Care (OCC) Monitoring System

## Crosswalk: Monitoring Rules for Cycle 2 (FY 2022-2024) and State Plan Questions

### Consumer Education: Dissemination of Information to Parents, Providers, and General Public

CCDF Rule	State Plan Question(s)
98.33(a)(4): Consumer Ed. – Posting Monitoring & Inspection Reports	2.3.7
98.33(a)(5): Consumer Ed. – Annual Aggregate Data	2.3.8

### Twelve-Month Eligibility

CCDF Rule	State Plan Question(s)
98.21(a)(1): 12-Month Eligibility Determination & Re-determination	3.4.1
98.21(a)(2): Continued Assistance/Job Search (OPTIONAL)	3.4.2
98.21(b)(1): Graduated Phase-Out	3.2.5
98.21(e)(1), (2), and (4): Change Reporting During the Minimum 12-Month Eligibility Period	3.4.3
98.21(a)(3): Co-payment Amounts During the Minimum 12-Month Period	3.2.1

### Equal Access

CCDF Rule	State Plan Question(s)
98.16(x): Building Supply of Child Care for Underserved Populations	4.1.8
98.45(k)(2): Sliding Fee Scales	3.2.1 – 3.2.3
98.45(k)(4): Waiving Co-payments	3.2.4
98.45(l)(1), (2), and (3): Payment Policies	4.4.1.a – c

### Health and Safety Requirements for Providers

CCDF Rule	State Plan Question(s)
98.41(a)(1)(i): Prevention and Control of Infectious Diseases	5.3.1.a
98.41(a)(1)(i)(A): Immunization Requirements	5.3.1.a
98.41(a)(1)(i)(B): Immunization Exemptions (Optional)	5.3.1.a
98.41(a)(1)(i)(C): Immunization Grace Period	3.3.6
98.41(a)(1)(ii): Sudden Infant Death Syndrome Prevention/Use of Safe Sleep Practices	5.3.2.a
98.41(a)(1)(iii): Medication Administration	5.3.3.a



98.41(a)(1)(iv): Prevention/Response to Emergencies due to Food and Allergic Reactions	5.3.4.a
98.41(a)(1)(v): Building and Physical Premises Safety (hazards, bodies of water, and vehicular traffic)	5.3.5.a
98.41(a)(1)(vi): Shaken Baby Syndrome, Abusive Head Trauma, and Child Maltreatment	5.3.6.a
98.41(a)(1)(vii): Emergency Preparedness and Response Planning	5.3.7.a
98.41(a)(1)(viii): Handling and Storage of Hazardous Materials and Biocontaminants Disposal	5.3.8.a
98.41(a)(1)(ix): Transporting Children	5.3.9.a
98.41(a)(1)(x): Pediatric First Aid and CPR	5.3.10.a
98.41(a)(1)(xi): Child Abuse and Neglect Recognition and Reporting	5.3.11.a

### Pre-Service/Orientation and Ongoing Training for Providers

CCDF Rule	State Plan Question(s)
98.44(b)(1)(i) and (iii): Pre-Service/Orientation Training for Providers	5.3.1.b – 5.3.11.b; 5.3.12
98.44(b)(2)(i): Ongoing Training for Providers	5.3.1.b – 5.3.11.b; 5.3.12; and 5.3.13

### Inspections for Licensed CCDF Providers

CCDF Rule	State Plan Question(s)
98.42(b)(2)(i): Inspections for Licensed Providers	5.4.2

### Inspections for License-Exempt CCDF Providers

CCDF Rule	State Plan Question(s)
98.42(b)(2)(ii): Inspections for License-Exempt Providers	5.4.3 – 5.4.4

### Background Checks

CCDF Rule	State Plan Question(s)
98.43(b)(1): FBI Fingerprint Checks	5.5.1.b.i
98.43(b)(3): In-state Searches of the State Criminal Repository, State Sex Offender Registry, and State-based Child Abuse and Neglect Registry and Database	5.5.1.a

### Program Integrity and Accountability

CCDF Rule	State Plan Question(s)
98.11(a)(3): Written Agreements	1.2.3
98.68(a)(2): Effective Internal Controls – Identification of Risk	8.1.2
98.68(a)(3): Effective Internal Controls – Provider and Staff Training	8.1.3



98.68(a)(4): Effective Internal Controls – Evaluation of Activities	8.1.4
98.68(b)(1): Identifying Fraud or Other Program Violations	8.1.5
98.68(b)(2): Fraud Investigation, Payment Recovery, and Sanctions	8.1.6 – 8.1.7
98.68(c): Documenting and Verifying Child Eligibility	3.1.8

